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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Yiu-Ming Leung	Examiner:	Not Yet Assigned
Serial No.:	10/773,946	Group Art Unit:	2176
File No.:	02/06/2004	Docket:	MS307156.1/40062.235US01
Confirmation No.:	7981	Notice of Allow. Date:	n/a
Due Date:	n/a		
Title:	SCHEMALESS XML PAYLOAD GENERATION		

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 28, 2004.

By: [Signature]  
Name: Jennifer Week

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**27488**

PATENT TRADEMARK OFFICE

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Information Disclosure Statement, Form 1449, 11 Reference(s)
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By: [Signature]  
Name: Timothy B. Scull  
Reg. No.: 42,137  
TBScull/jw



S/N 10/773,946

PATENT

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Serial No.: 10/773,946 Group Art Unit: 2176  
Filed: 02/06/2004 Docket No.: MS307156.1/40062.235US01  
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By: 

Name: Jenifer Weck

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked

as being considered and initialed by the Examiner, to the undersigned with the next official communication.

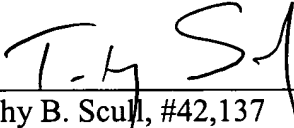
Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

Dated: \_\_\_\_\_

**27488**

PATENT TRADEMARK OFFICE

  
\_\_\_\_\_  
Timothy B. Scull, #42,137  
MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
303.357.1648

FORM 1449\*

## INFORMATION DISCLOSURE STATEMENT

## IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:

40062.0235US01

Application Number:

10/773,946

Applicant: Yiu-Ming Leung

Filing Date: 02/06/2004

Group Art Unit: 2176

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		"MPEG-7 binary format for XML data," by Niedermeier, U.; Heuer, J.; Hutter, A.; Stechele, W.; IEEE Computer Society, Los Angeles, CA, 2002.
		"Enhancing Network Communication in NPSNET-V Virtual Environments Using XML-Described Dynamic Behavior (DBP) Protocols," (Master's Thesis), by Fisher, W.D., Naval Postgraduate School, Monterey, CA, Sep. 2001, 153 pgs.
		"A Declarative Manipulation Mechanism for OODB and XML D having Cyclic Schema," by Kakeshita, T.; Murata, M.; 2003 IEEE Pacific Rim Conference on Communications Computers and Signal Processing (PACRIM 2003), (Cat. No. 03CH37490), vol. 2, pp. 688-91.

27488

PATENT TRADEMARK OFFICE

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

<b>FORM 1449*</b>  <b>INFORMATION DISCLOSURE STATEMENT</b>  <b>IN AN APPLICATION</b>  (Use several sheets if necessary)	Docket Number: 40062.0235US01	Application Number: 10/773,946
	Applicant: Yiu-Ming Leung	
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[illegible]

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